JUN 2 8 2004 PUNDER PAR

PTO/SB/21 (08-00)

Under the Paperwork Reduction act of 1	995, no persona a	U.S. Pa	tent and Trademark Off	ice: U.S. I	DEPARTMEN	e through 10/31/2002 IT OF COMMERCE / MB control number.		
TRANSI	MITTAL		Application Number		09/830,040	3		
FO	RM		Filing Date	Filing Date		August 13, 2001		
(to be used for all correspond	ondence after i	nitial filing)	First Named Inven	tor	Christophe	er Robert Eccles		
	,		Group Art Unit	Group Art Unit				
			Examiner Name		Ricardo J.	Palabrica		
Total Number of Pages in Th	is Submissior	ו	Attorney Docket N	umber	13121US0	1		
	ENCLO	OSURES (cl	neck all that ap	ply)				
Extension of Time Reque	Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Pro 1449/08A with 3 references Certified Copy of Priority Document(s) (for an Applicat Drawing(s) (Licensing-relat Petition Petition to Con Provisional App Change of Con Address Terminal Disclosure Request for Re CD Number of CD Number of			After Allowance Commuto Group Sheets) d Papers Appeal Communication of Appeals and Interferent Appeal Communication to (Appeal Notice, Brief, Reply) ert to a cation ey, Revocation spondence The proprietary Information Status Letter Other Enclosure(s) (pleatidentify below): POSTCARD				
	SIGNATURI	E OF APPLICA	NT, ATTORNEY, OR	AGENT	en e			
Signature Date June 2 I hereby certify that this corresponded ressed to: Mail Stop Amend	ment, Commis	CERTIFICATION DE CERTIF	E OF MAILING the United States Posts, P.O. Box 1450, Alexa	al Service andria, V	as first class A 22313-145	mail in an envelope 0 on June 1 4, 2004		
Name (Print/type) Scott	P. McBride	mal	Registration No. (Atto	rney/Age	ent) 🕫	42,853		
Signature	1/ //·	MADRIDO	•		Date	June 24, 2004		

JUN 2 8 2004 PT O/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction act of 1995, no persona are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Patent Fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known					
Application Number	09/830,040				
Filing Date	August 13, 2001				
First Named Inventor	Christopher Robert Eccles				
Examiner Name	Ricardo J. Palabrica				
Group Art Unit	3641				
Attorney Docket No.	13121US01				

METHOD OF PAYMENT							FEE	CALCULATION (continued)	1
1. The Commissioner is hereby authorized to charge indicated fees and				3. ADDITIONAL FEES					
credit any overpayments to:					Large	I			
Deposit]	Entity	y	Entity			
Account Number		13-0017		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit			-	1051	130	2051	65	Surcharge - late filing fee or oath	raiu
Account	McAnd	drews, Held & Malloy		1052	50	2052	25	Surcharge - late provisional filing fee or cover	
Name (☑ Char	ao Any Additio	nal Fee Required Under 37 CFR						sheet	l i
		nal ree Required Under 37 CFR hall entity status.	1.16 and 1.17	1053	130	1053	130	Non English specification	
	37 CFR 1.27	en entry status.		1033	130	1033	130	Non-English specification	
2. ⊠ Payme	nt Enclosed:			1812		1812	2,520	For filing a request for ex parte reexamination	
		ard Money Order Othe	er	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
	FEE	CALCULATION		1805	1,840	1 805	1,840*	Requesting publication of SIR after Examiner	
1. BASIC FI	ING FEE			1				action	
	y Small Entity			1251	110	2251	55	Extension for reply within first month	
Fee Fee Code (\$)		Fee Description	Fee Paid	1252	410	2252	205	Extension for reply within second month	
		Helica di E	ree raio	1253	930	2253	465	Extension for reply within third month	
1001 75		, ,		1254	1,450	2254	725	Extension for reply within fourth month	
1002 33	0 2002 165	Design filing Fee		1255		2255	985	Extension for reply within fifth month	
1003 52	0 2003 260	Plant filing fee		1401	320	2401	160	Notice of Appeal	
1004 75	0 2004 375	Reissue filing fee		1402	320	2402	160	Filing a brief in support of an appeal	
1005 16	0 2005 80	Provisional filing fee		1403	280	2403	140	Request for oral hearing	
		7 . 5 . 15 . 15 . 15 . 15 . 15 . 15 . 15		1451	1,510		1510	Petition to institute a public use proceeding	
		CURTOT	11 (4) (4)	1452	110	2452	55	Petition to revive - unavoidable	
		SUBTOTA	AL (1) (\$)	1453		2453	650	Petition to revive - unintentional	
2. EXTRA CL	AIM FEES			1501 1502	1,300 470		650	Utility issue fee (or reissue)	
		Fee from		1502	610	2502 2503	235 315	Design issue fee Plant issue fee	<u> </u>
		Extra Claims below	Fee Paid	1460	130	1460	130	Petitions to the Commissioner	
Total Claims	20	**=		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	-
Independent				1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
Claims Multiple Depe		*=		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	100.00
Large Entit	y Small Entity			1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description		1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1202 18	2202 9	Claims in excess of 20		1801	750	2801	375	Request for Continued Examination (RCE)	
1201 84	2201 42	Independent claims in excess	of 3	1802	900	1802	900	Request for expedited examination of a design	
1203 28	0 2203 140	Multiple dependent claim, if no	ot paid	Other	fee (sp	acifu)		application	
1204 84	2204 42	**Reissue independent claims over original patent	;	Other	100 (56	cuiy)			
1205 18	2205 9	**Reissue claims in excess of and over original patent	20						
SUBTOTAL (2) (\$)								·	
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**or number previously paid, if greater; For Reissues, see above			Redu	cea by	Dasic Fili	ing Fee P	'aid SUBTOTAL (3) (\$)180.00	

SUBMITTED BY				Complete (if applicable)
Name (Print/Type)	Scott P. McBride Registration No. (Attorney or Agent)	42,853	Telephone	312/775-8131
Signature	Scott 1. Malbrid	e :	Date	June 24, 2004

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JUL 0 7 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Christopher R. Eccles

Application No.:

09/830,040

Filed:

8/13/2001

For:

ENERGY GENERATION

Examiner:

Ricardo J Palabrica

Group Art Unit:

3641

Attorney Docket No.: 13121US01

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97(b)(4)

JUL 0 7 2004

GROUP 3600

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Examiner Palabrica:

Pursuant to 37 C.F.R. § 1.56, it is respectfully requested that the following references be considered in the continued examination of the above-identified patent application:

- PCT WO 92/10838, Energy/Matter Conversion Methods and Structures. publication date June 25, 1992
- PCT WO 96/42085, Lower-Energy Hydrogen Methods and Structures, publication date December 27, 1996
- Court opinion from Blacklight Power, Inc. v. Dickinson, 55 U.S.P.Q.2d 1812 (D.D.C. Aug. 15, 2000)

The above-identified references are listed on the attached PTO Form 1449 and copies are also enclosed herewith.

Application No. 09/830,040 Attorney Docket No. 13121US01

This submission is in no way intended as an admission that the above-cited references constitute prior art under any subsection of 35 U.S.C. § 102. Applicant expressly retains the right to take any actions necessary to remove the above-cited references from the available prior art.

Please charge any fees incurred in connection with this submission to Deposit Account No. 13-0017 in the name of McAndrews, Held & Malloy, Ltd.

Respectfully submitted,

Date: _____ June 24, 2004

Scott P. McBride

Registration No. 42,853

MCANDREWS, HELD & MALLOY, LTD. 500 West Madison Street, 34th Floor Chicago, IL 60661

Telephone:

(312) 775-8000

Facsimile:

(312) 775-8100

SERIAL NO.

09/830,040

CASE NO.

09/830,040

13121US01

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(use several sheets if necessary)

APPLICANT(S): Eccles

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

	REFERENCE	DESIG	NATION	U.S. PATENT D	OCCIMENTS		
۰	EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
I		A1			· · · · · · · · · · · · · · · · · · ·		
I		A2					
I		A3					
I		A4					
1		A5					
I		A6					
I		A7					
I		A8					
I		A9					

FOREIGN PATENT DOCUMENTS

EXAMINER		DOCUMENT		,	CLASS/	TRANSLATION	
INITIAL		NUMBER	DATE	COUNTRY	SUBCLASS	YES	NO
Ţ	A10	WO 92/10838	6/25/1992	US			
	A11	WO 96/42085	12/27/1996	US			
	A12						
	A13						

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)							
	A14	Court opinion from Blacklight Power, Inc. v. Dickinson, 55 U.S.P.Q.2d 1812 (D.D.C. Aug. 15, 2000)						
	A15							
	A16							
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JUL 0 7 2004

GROUP 3600

EXAMINER	DATE CONSIDERED	9.	Control of the second s	A.A.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Rev. Dec.-99